U.S. Patent and Trademark

PTO/SB/05 (11-00)

through 10/31/2002. OMB 0651-0032

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

11738.00050 Attorney Docket No. First Inventor Michael Baudino Techniques For Selective Activation Of Neurons In The Brain, Spinal Title Cord Parenchyma Or Peripheral Nerve

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Express Mail Label No. | EL929162534US

APPLICATION ELEMENTS  Assistant Commissioner for Patents									
See MPEP chapter 600 concerning utility patent application contents.				ADDR	ESS TO:	Box Patent / Washington	Application		
1. A Fee Transmittal Form (e.g., PTO/SB/17)					7. CD-ROM or CD-R in duplicate, large table or				
(5		d a duplicate for fee proc		(	Computer Program (Appendix)				
	Applicant claims See 37 CFR 1.2	small entity status. 7.		Nucleotide and/or Amino Acid Sequence Submission     (if applicable, all necessary)					
3. 🛛 S	Specification	[Tota	al Pages 31 ]	· —	a. Computer Readable Form (CRF)				
		ment set forth below)		b. Specification Sequence Listing on:					
<ul> <li>Descriptive title of the Invention</li> <li>Cross References to Related Applications</li> </ul>					i. ☐ CD-ROM or CD-R (2 copies); or ii. ☐ paper				
	Statement Regard Reference to sequence	t & D	c. Statements verifying identity of above copies						
	or a computer program listing appendix				ACCOMPANYING APPLICATIONS PARTS				
-	<ul> <li>Background of the Invention</li> <li>Brief Summary of the Invention</li> </ul>			9. Assignment Papers (cover sheet & document(s))					
	Brief Description of Detailed Description	of the Drawings <i>( if file</i> ion	ed)	10. 🔲	10. 37 C.F.R.§3.73(b) Statement Power of				
-1	- Claim(s)				(when there	is an assignee) Attorney			
	Abstract of the Di	sciosure		11. 🗌	English Tran	nslation Docur	nent (if applicable)		
4. Drawing(s) (35 U.S.C.113) [Total Sheets 19 ] 5. Oath or Declaration [Total Pages 2 ]				12. 🗌	Information Disclosure				
a. Newly executed (original or copy)					Preliminary Amendment				
b. Copy from a prior application (37 CFR 1.63 (d))					Return Receipt Postcard (MPEP 503)				
	• •		Box 18 completed)	1,5 [	(Should be specifically itemized)				
i, 🗆		OF INVENTOR(S		13.	15. Certified Copy of Priority Document(s)  (if foreign priority is claimed)				
		nt attached deleting in ior application, see 37		16. 🔲	16. Request and Certification under 35 U.S.C. 122				
_	1.63(d)(2) and 1				(b)(2)(B)(i). Applicant must attach form PTO/SB/35				
6. 🛛 App	lication Data S	heet. See 37 CFR	1.76	17.	or its equivalent.  17.  Other:				
						_			
		CATION, check appro eet under 37 CFR 1.		y the requisit	te information t	below and in a	preliminary amendment,		
□ Conti		<i>eet under 37 CFR 7.</i> □ Divisional	Continuation-in-par	t (CIP)	of prior	application No:	09 / 302.519		
	olication information		W. Kamm	` ,	Gro	oup / Art Unit: 37	62		
For CONTIN	UATION or DIVIS	SIONAL APPS only:	The entire disciosure	of the prior a	pplication, from	m which an oat	th or deciaration is supplied y incorporated by reference.		
The incorpo	ration <u>can only</u> l	e relied upon when	a portion has been in	advertentiy o	mitted from th	e submitted ap	plication parts.		
			17. CORRESPO	NDENCE A	DDRESS				
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Name	Banner & Witcoff								
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	Suite 3000								
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Name (Print/Type) Binal J. Patel		1	Registration No. (Attorney/A		ey/Agent)	42,065			
Signature		No				Date	November 9, 2001		

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## **FEE TRANSMITTAL** for FY 2002

Patent fees are subject to annual revision.

\*\*or number previously paid, if greater, For Reissues, see above

Complete if Known					
Application Number	n/a				
Filing Date	November 9, 2001				
First Named Inventor	Michael Baudino				
Examiner Name					
Group / Art Unit					

**TOTAL AMOUNT OF PAYMENT** 1526 11738.00050 Attorney Docket No. METHOD OF PAYMENT (check one) FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES  $\boxtimes$ 1. indicated fees and credit any over payments to: Small Large Entity Entity Fee Deposit Fee Description Code (\$) Code (\$) Paid 01-0850 Account 105 130 205 65 Surcharge - late filing fee or oath Number 127 50 227 25 Surcharge - late provisional filing fee or cover sheet. Deposit Banner & Witcoff Account 139 130 139 130 Non-English specification Name 147 2,520 147 2,520 For filing a request for reexamination Charge Any Additional Fee Required 112 920\* 112 920\* Requesting publication of SIR prior to Under 37 CFR 1.16 and 1.17 Examiner action ☐ Applicant claims small entity status. 113 1.8401 113 1.8401 Requesting publication of SIR after See 37 CFR 1.27 Examiner action 2. Payment Enclosed: 115 110 215 55 Extension for reply within first month 116 400 216 200 Extension for reply within second ☐ Check Credit card ■ Money □ Other month 117 920 217 460 Extension for reply within third month **FEE CALCULATION** 118 1,440 218 720 Extension for reply within fourth BASIC FILING FEE month Large **Entity Small** Entity 128 1,960 228 980 Extension for reply within fifth month Fee Description Fee Fee Fee Fee 119 320 219 160 Notice of Appeal Code (\$) Code (\$) Fee Pald 120 320 220 160 Filing a brief in support of an appeal 101 740 201 370 Utility filing fee 740 121 221 280 140 Request for oral hearing 106 330 206 165 Design filing fee Petition to institute a public use 138 1.510 138 1,510 107 510 207 255 Plant filing fee proceeding 108 740 208 370 Reissue filina fee 140 110 240 55 Petition to revive - unavoidable 114 160 214 80 Provisional filling fee 1,280 141 640 241 Petition to revive - unintentional 142 1,280 242 640 Utility issue fee (or reissue) SUBTOTAL (1) (\$) 740 143 460 243 230 Design issue fee 2. EXTRA CLAIM FEES 144 620 244 310 Plant issue fee 122 130 122 130 Petitions to the Commissioner Extra Fee from Fee Claims Paid 123 Processing fee under 37 CFR 1.17 (q) 50 123 50 Total Claims 45 -20 х 25 18 450 Submission of Information Disclosure 126 18Ó 126 180 Independent 7 4 336 84 Claims Recording each patent assignment 581 40 581 40 per property (times number of Multiple х 0 properties) 146 740 246 Filing a submission after final rejection 370 Large Entity Small **Entity** (37 CFR § 1.129(a)) Fee Fee Fee Description 370 For each additional invention to be 149 740 249 Code (\$) Code (\$) examined (37 CFR § 1.129(b)) 103 203 9 18 Claims in excess of 20 Request for Continued Examination (RCE) 102 84 202 42 Independent claims in excess of 3 179 740 279 370 104 140 280 204 Multiple dependent claim, if not paid 169 900 169 900 Request for expedited examination \*\* Reissue independent claims over of a design application 109 42 84 209 original patent \*\* Reissue claims in excess of 20 and 110 18 210 9 over original patent Other fee (specify) \_ SUBTOTAL (2) (\$) 786 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) O

SUBMITTED BY		Co	Complete (if applicable)			
Name (Print/Type)	Binal J. Patel	Registration No. Attorney/Agent)	42,065	Telephone	312-715-1000	
Signature		LIN)		Date	November 9, 2001	

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## **CERTIFICATE OF MAILING** (NEW PATENT APPLICATION)

Express Mail No. EL929162534US

Deposited: November 9, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Application of:

Baudino et al.

Application No.:

Filing Date:

November 9, 2001

Title:

Techniques For Selective Activation Of Neurons In The Brain, Spinal

Cord Parenchyma Or Peripheral Nerve

Transmitted herewith are the following documents:

<u>X</u> Utility Patent Application Transmittal (1 page) in duplicate

 $\underline{X}$  Fee Transmittal (1 page) in duplicate

X Application Data Sheet (4 pages)

 $\underline{X}$  Specification (31 pages)

X Formal Drawings (19 pages) Figs. 1-23

<u>X</u> Executed Declaration from parent application (2 pages)

X Return Postcard

Attorney Case No.: <u>11738.00050</u>